

COMPASS POINT RESEARCH & TRADING, LLC

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We at Compass Point Research & Trading, LLC (CPRT) respect the confidentiality of our clients' non-public, personal information. It is our intention to ensure that this information is safeguarded to protect against its misuse. To that end, we are providing you with another copy of our current Privacy Policy to remind you of our concern for and our efforts related to the protection of, your personal, non-public information.

CPRT's PRIVACY POLICY

All information given to CPRT and all recommendations and advice furnished by CPRT to its clients will be kept confidential and will not be disclosed to anyone, except as CPRT may so agree in writing or as may be required to do so by law.

CPRT will collect non-public, personal information (NPI) about its clients as part of this engagement. This information will be obtained directly from the client and includes details such as the client's date of birth, social security number, financial account numbers and balances, tax returns, sources and amounts of income, home addresses and telephone numbers.

As part of CPRT's policy, CPRT restricts access to confidential personal information about its clients to those CPRT employees who need to know that information in order to provide products or services to its clients. CPRT maintains physical, electronic, and procedural safeguards to comply with federal standards to guard its clients' confidential personal information.

Use of nonpublic information of former customers: The firm will provide nonpublic information about former customers only if required to do so by law or regulation or to those parties who need such information in order for the firm to carry out any continued obligation with respect to the services covered by the former adviser/customer relationship.